

Application, request, contract or client number:

1 INFORMATION CONCERNING THE CONTRACTHOLDER/ENTITY

Name of entity

Business No.

2 VERIFICATION OF TAX CLASSIFICATION

a) **FATCA** – Was the entity established in the U.S. or a U.S. state? Yes No

If so, indicate the U.S. federal taxpayer identification number (U.S. TIN) _____

b) **CRS** – Was the entity established in a jurisdiction other than Canada or the United States? Yes No

If so, indicate the country and the foreign taxpayer identification number

Country

Identification number

c) Is this an active or passive entity? A passive entity is an entity that derives 50% or more of its income from passive investments such as interest, dividends, rents, capital gains, etc. An active entity is one that does not meet the criteria of the definition of a passive entity.

Active entity Passive entity

d) Is the entity a trust? Yes No

3 BENEFICIAL OWNERSHIP INFORMATION¹ (Complete only if the entity is a passive entity or a trust)

Are any of the beneficial owners (i.e. a shareholder or an owner in the case of an entity or a trustee, beneficiary or settlor in the case of a trust) a U.S. citizen or resident or a resident of a jurisdiction other than Canada or the United States for tax purposes? Yes No

If so, provide the following information for each beneficial owner.

First name

Last name

Address (No., street, apartment)

City

Province/state

Country

Postal/zip code

The beneficial owner concerned is:

A U.S. citizen or resident (FATCA)

A resident of a jurisdiction other than Canada or the United States (CRS)

U.S. federal taxpayer identification number (U.S. TIN)

Country

Foreign taxpayer identification number

1. All persons who, directly or indirectly, hold or control at least 25% of the shares of the corporation or at least 25% of another type of entity.

3 BENEFICIAL OWNERSHIP INFORMATION (CONT.)

First name Last name

Address (No., street, apartment)

City Province/state Country Postal/zip code

The beneficial owner concerned is:

A U.S. citizen or resident (FATCA)

A resident of a jurisdiction other than Canada or the United States (CRS)

U.S. federal taxpayer identification number (U.S. TIN)

Country

Foreign taxpayer identification number

First name Last name

Address (No., street, apartment)

City Province/state Country Postal/zip code

The beneficial owner concerned is:

A U.S. citizen or resident (FATCA)

A resident of a jurisdiction other than Canada or the United States (CRS)

U.S. federal taxpayer identification number (U.S. TIN)

Country

Foreign taxpayer identification number

First name Last name

Address (No., street, apartment)

City Province/state Country Postal/zip code

The beneficial owner concerned is:

A U.S. citizen or resident (FATCA)

A resident of a jurisdiction other than Canada or the United States (CRS)

U.S. federal taxpayer identification number (U.S. TIN)

Country

Foreign taxpayer identification number

4 DECLARATIONS AND SIGNATURE OF THE CONTRACTHOLDER/ENTITY

I confirm that I am duly authorized to sign this form on behalf of the contractholder/entity.

I declare that the information provided in this form is true and complete. I agree to notify my financial security advisor or La Capitale if any changes in circumstance cause this information to become incorrect.

Signed at _____ on this _____ day of _____ 20 _____ .

Name of the authorized signatory (Please print)

X

Signature of the authorized signatory